



Color code techniques in rainbow connection

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Abstract

Let G be a graph with an edge k -coloring $\gamma : E(G) \rightarrow \{1, \dots, k\}$ (not necessarily proper). A path is called a rainbow path if all of its edges have different colors. The map γ is called a rainbow coloring if any two vertices can be connected by a rainbow path. The map γ is called a strong rainbow coloring if any two vertices can be connected by a rainbow geodesic. The smallest k for which there is a rainbow k -coloring (resp. strong rainbow k -coloring) on G is called the rainbow connection number (resp. strong rainbow connection number) of G , denoted $rc(G)$ (resp. $src(G)$). In this paper we generalize the notion of "color codes" that was originally used by Chartrand *et al.* in their study of the rc and src of complete bipartite graphs, so that it now applies to any connected graph. Using color codes, we prove a new class of lower bounds depending on the existence of sets with common neighbours. Tight examples are discussed, involving the amalgamation of complete graphs, generalized wheel graphs, and a special class of sequential join of graphs.

Keywords: clique, color code, common neighborhood, independence, rainbow connection

Mathematics Subject Classification : xxxxx

1. Introduction

In 2008, Chartrand *et al.* introduced rainbow colorings, as a way to strengthen connectedness. A coloring on a graph G refers to any map $\gamma : E(G) \rightarrow \{1, \dots, k\}$, which is also called *edge-coloring* or *k -coloring*. We write $x \overset{i}{-} y$ to say $xy \in E(G)$ and $\gamma(xy) = i$. A path is called *rainbow* if all of its edges have different color. A coloring is called *rainbow* if any two vertices can be connected by a rainbow path. A trivial way to produce a rainbow coloring on any connected graph is using $|E(G)|$ colors to give each individual edge its own color. This may not be efficient. For

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example, two colors are enough to rainbow-color C_4 (put 1 and 2 alternately). The smallest k for which there is a rainbow k -coloring on G is called the *rainbow connection number* of G , denoted $rc(G)$. A coloring is called *strong rainbow* if any two vertices can be connected by a rainbow geodesic. The smallest k for which there is a strong rainbow k -coloring on G is called the *strong rainbow connection number* of G , denoted $src(G)$. Chartrand *et al.* [1] noted the following chain.

$$diam(G) \leq rc(G) \leq src(G) \leq |E(G)| \tag{1.1}$$

Li and Sun [5] tightened the upper bound to $src(G) \leq |E(G)| - 2t$, where t is the number of edge-disjoint triangles. Schiermeyer [7] improved the lower bound to $rc(G) \geq \max\{diam(G), n_1(G)\}$ where n_1 is the number of vertices of degree one. The reader is referred to [6] for a detailed survey.

In this paper, we prove some lower bounds based on the presence of sets with common neighbours. For a non-empty $Q \subseteq V(G)$, its common neighborhood is denoted

$$CN(Q) = \bigcap_{v \in Q} N(v) \tag{1.2}$$

A new graph Q^* (called the *CN-graph* of Q) is defined with $V(Q^*) = Q$ such that $v, w \in Q$ are adjacent in Q^* if and only if they are already adjacent in G , or $CN(v, w) \neq CN(Q)$. In Section 2.1 we prove that if $CN(Q) \neq \emptyset$ then

$$src(G) \geq \max \left\{ \beta_0(Q^*), \frac{|Q|}{\omega(Q^*)} \right\}^{\frac{1}{|CN(Q)|}} \tag{1.3}$$

where β_0 is the vertex-independence number, and ω is the clique number. These parameters are described e.g. in [4]. We also prove a version of (1.3) for multiple sets. In Section 2.2 we prove similar bounds for rc . In Section 2.3 we discuss some miscellaneous bounds that will be useful in our discussion of tight examples involving the amalgamation of complete graphs, generalized wheel graphs, and a class of sequential join.

We use color codes. This notion was used in [1] as a tool to study the rc and src of complete bipartite graphs. Now we adapt it to any connected graph. Given a coloring $\gamma : E(G) \rightarrow \{1, \dots, k\}$ (not necessarily rainbow) and a non-empty set $Q \subseteq V(G)$ with non-empty common neighborhood $CN(Q) = \{t_1, \dots, t_b\}$, we define the *color code* of a vertex $v \in Q$ as follows,

$$code(v) = (\gamma(vt_1), \gamma(vt_2), \dots, \gamma(vt_b)) \tag{1.4}$$

The tuple $code(v)$ depends on the set Q that we consider v a member of, as illustrated in Figure 1. For accuracy, we also refer to the tuple $(\gamma(vt_1), \gamma(vt_2), \dots, \gamma(vt_b))$ as the code of v with respect to $\{t_1, \dots, t_b\}$. Let $code(Q) = \{code(v) | v \in Q\}$. Since every code is a b -tuple, we have

$$|code(Q)| \leq k^b \tag{1.5}$$

Lemma 1.1. *Let γ be a coloring on G , and $Q \subseteq V(G)$ with $CN(Q) \neq \emptyset$. Then there is a rainbow geodesic between two non-adjacent vertices in Q^* if and only if their color codes are different.*

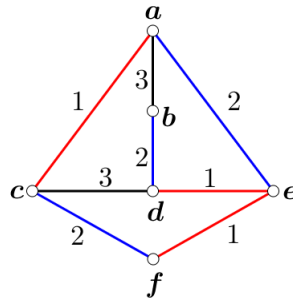


Figure 1. If we consider $a \in \{a, d\}$, $code(a)$ is a 3-tuple. It is a 2-tuple if we consider $a \in \{a, d, f\}$.

Proof. Let $v, w \in Q$ but $vw \notin E(Q^*)$. Any $v-w$ geodesic has the form $v-t-w$ with $t \in CN(Q)$. So there is a rainbow $v-w$ geodesic if and only if there is a $t \in CN(Q)$ with $\gamma(vt) \neq \gamma(wt)$. \square

A set is called *co-neighboring* if any two of its vertices have precisely the same (non-empty) neighborhood. An *independent set* has any two of its vertices non-adjacent.

Lemma 1.2. *Let γ be a coloring on G , $Q \subseteq V(G)$ co-neighboring, and $CN(Q)$ independent. If $v, w \in Q$ and $code(v) = code(w)$, then the length of any rainbow path between them is at least 4.*

Proof. Since Q is co-neighboring, $vw \notin E(G)$ and $N(v) = N(w) = CN(Q)$. So $vw \notin E(Q^*)$. By Lemma 1.1 there are no rainbow $v-w$ geodesics. Let $L : v-x-\dots-y-w$ be a rainbow path with $x \in N(v)$ and $y \in N(w)$. Then $x, y \in CN(Q)$ and $x \neq y$ (since L is not geodesic). So, the length of L is at least $2 + d_G(x, y) \geq 4$ because x, y are non-adjacent. \square

Lemma 1.3. *Let γ be a coloring on G , and $Q \subseteq V(G)$ with $CN(Q) \neq \emptyset$. If*

$$|code(Q)| < \max \left\{ \beta_0(Q^*), \frac{|Q|}{\omega(Q^*)} \right\}, \tag{1.6}$$

then there are non-adjacent vertices in Q^ with the same color code.*

Proof. Let $b = |CN(Q)|$. If $|code(Q)| < \beta_0(Q^*)$, let $X \subseteq Q$ be an independent set in Q^* with $|X| = \beta_0(Q^*)$; since $|X| > |code(Q)|$, some two $v, w \in X$ have the same code.

If $|code(Q)| < \frac{|Q|}{\omega(Q^*)}$, then $|code(Q)|\omega(Q^*) < |Q|$ so at least $\omega(Q^*) + 1$ vertices in Q have the same code; if X is a set of such vertices, then some $v, w \in X$ are non-adjacent in Q^* . \square

Later we deal with multiple subsets. The problem is how to compare the codes in different subsets. Let us call two disjoint sets $Q_1, Q_2 \subseteq V(G)$ *CN-bridged* if for every $v \in Q_1$ and $w \in Q_2$ we have v and w non-adjacent in G , and any geodesic between them has the form $v-x-\dots-y-w$ with $x \in CN(Q_1)$ and $y \in CN(Q_2)$. A *diagonal tuple* has the form (i, i, \dots, i) .

Lemma 1.4. *Let $Q_1, \dots, Q_p \subseteq V(G)$, $p \geq 2$, and γ be a k -coloring on G . If $r \in \mathbb{N}$ satisfies*

$$r \leq k \leq \sqrt[b]{\frac{1}{p} \left(r - 1 + \sum_{i=1}^p \max \left\{ \beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)} \right\} \right)}. \tag{1.7}$$

then one of the following holds :

- (1) For some $i \in \{1, \dots, p\}$, there are non-adjacent vertices in Q_i^* with the same code.
- (2) For some $i, j \in \{1, \dots, p\}$ with $i \neq j$, there is a diagonal tuple in $\text{code}(Q_i) \cap \text{code}(Q_j)$.

Proof. Suppose (1) fails to hold. Let A and B be the set of diagonal and non-diagonal tuples respectively. Then $|A| = k$ and $|B| = k^b - k$. We need to show $\text{code}(Q_i) \cap \text{code}(Q_j) \cap A \neq \emptyset$ for some $i \neq j$. Assuming otherwise, for all $i \neq j$ we have

$$\begin{aligned} 0 = |\text{code}(Q_i) \cap \text{code}(Q_j) \cap A| &\geq |\text{code}(Q_i) \cap A| + |\text{code}(Q_j) \cap A| - |A| \\ &\geq |\text{code}(Q_i)| - |B| + |\text{code}(Q_j)| - |B| - |A| \\ &= |\text{code}(Q_i)| + |\text{code}(Q_j)| - 2k^b + k \end{aligned}$$

so $2k^b - k \geq |\text{code}(Q_i)| + |\text{code}(Q_j)|$. Summed up, $\binom{p}{2}(2k^b - k) \geq (p-1) \sum_{i=1}^p |\text{code}(Q_i)|$ hence

$$k^b - \frac{1}{p} \sum_{i=1}^p |\text{code}(Q_i)| \geq \frac{k}{2} \geq \frac{r}{2} \tag{1.8}$$

Since (1) fails, we have $|\text{code}(Q_i)| \geq \max \left\{ \beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)} \right\}$ for $1 \leq i \leq p$ by Lemma 1.3. So

$$\frac{r}{2} \leq k^b - \frac{1}{p} \sum_{i=1}^p |\text{code}(Q_i)| \leq k^b - \frac{1}{p} \sum_{i=1}^p \max \left\{ \beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)} \right\} \leq \frac{r-1}{p} \tag{1.9}$$

This contradicts $p \geq 2$. □

2. Main Results

2.1. Lower bounds for src

Theorem 2.1. Let G be a connected graph and $Q \subseteq V(G)$ with $CN(Q) \neq \emptyset$. Then

$$\text{src}(G) \geq \max \left\{ \beta_0(Q^*), \frac{|Q|}{\omega(Q^*)} \right\}^{\frac{1}{|CN(Q)|}} \tag{2.1}$$

Proof. Let $b = |CN(Q)|$. Suppose $\text{src}(G) \leq k$, where $k = \left\lceil \sqrt[b]{\max \left\{ \beta_0(Q^*), \frac{|Q|}{\omega(Q^*)} \right\}} \right\rceil - 1$.

Under a strong rainbow k -coloring on G , we have $|\text{code}(Q)| \leq k^b < \max \left\{ \beta_0(Q^*), \frac{|Q|}{\omega(Q^*)} \right\}$. So Lemma 1.3 applies, and we get a contradiction with Lemma 1.1. □

If we have several subsets $Q_1, \dots, Q_p \subseteq V(G)$, then an application of Theorem 2.1 to each individual set gives p lower bounds, which can be averaged to

$$\text{src}(G) \geq \frac{1}{p} \sum_{i=1}^p \sqrt[b]{\max \left\{ \beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)} \right\}}. \tag{2.2}$$

The following is a better bound that incorporates all the subsets simultaneously, under the additional assumption that the sets are pairwise CN-bridged. Moreover, the bound can also make use of a previously known lower bound for src, to possibly improve it to a sharper bound.

Theorem 2.2. Let G be a connected graph, $p \geq 2$, and $Q_1, \dots, Q_p \subseteq V(G)$ be pairwise CN-bridged sets with $|CN(Q_i)| = b > 0$ for $1 \leq i \leq p$. If $src(G) \geq r$ for some $r \in \mathbb{N}$, then

$$src(G) \geq 1 + \left\lfloor \sqrt[b]{\frac{1}{p} \left(r - 1 + \sum_{i=1}^p \max \left\{ \beta_0(Q_i^*), \frac{|Q_i|}{\omega(Q_i^*)} \right\} \right)} \right\rfloor. \tag{2.3}$$

Proof. Suppose $src(G) \leq k$, where k is the right hand side minus 1. Let γ be a strong rainbow k -coloring on G . Note that (1.7) holds, so one of the options (1) or (2) in Lemma 1.4 holds. If (1) holds, Lemma 1.1 is contradicted. So (2) holds. Let $v \in Q_i$ and $w \in Q_j$ have the same diagonal tuple as their code. By CN-bridging, any $v-w$ geodesic has the form $v-x-\dots-y-w$ with $x \in CN(Q_1)$ and $y \in CN(Q_2)$. But $\gamma(vx) = \gamma(wy)$, so this geodesic is not rainbow. \square

Remark 2.1. With $r = 1$ the bound is already stronger than (2.2). This is because of $1 + \lfloor x \rfloor \geq x$ and Jensen's inequality for the concave function $f(x) = \sqrt[b]{x}$ on $x \geq 0$.

2.2. Lower bounds for rc

We consider analogous version of the previous bounds for rainbow connection number.

Theorem 2.3. Let G be a connected graph and $Q \subseteq V(G)$ a co-neighboring set, with $CN(Q)$ independent. Then

$$rc(G) \geq \min \left\{ 4, |Q|^{\frac{1}{|CN(Q)|}} \right\}. \tag{2.4}$$

Proof. Let $b = |CN(Q)|$. Suppose $rc(G) \leq k$, where $k = \min\{3, \lceil \sqrt[b]{|Q|} \rceil - 1\}$. Then there is a rainbow k -coloring γ on G . Since $|code(Q)| \leq k^b < |Q|$, some two $v, w \in Q$ have the same code. This contradicts Lemma 1.2, since $k \leq 3$. \square

Two sets Q_1, Q_2 are called *adjacent* if some vertex in Q_1 is adjacent to some vertex in Q_2 .

Theorem 2.4. Let G be a connected graph, $p \geq 2$, and $Q_1, \dots, Q_p \subseteq V(G)$ be co-neighboring pairwise non-adjacent sets, with $|CN(Q_i)| = b > 0$ and $CN(Q_i)$ independent for $1 \leq i \leq p$. Let $rc(G) \geq r$ for some $r \in \mathbb{N}$. Then

$$rc(G) \geq \min \left\{ 4, 1 + \left\lfloor \sqrt[b]{\frac{1}{p} \left(r - 1 + \sum_{i=1}^p |Q_i| \right)} \right\rfloor \right\}. \tag{2.5}$$

Proof. Suppose $rc(G) \leq k$, where is the right hand side minus 1. Let γ be a rainbow k -coloring on G . Note that (1.7) holds, so one of the options (1) or (2) in Lemma 1.4 holds. If (1) holds, Lemma 1.2 is contradicted because $k \leq 3$. So (2) holds. Let $v \in Q_i$ and $w \in Q_j$ have the same diagonal tuple as their code, with $i \neq j$. In any path $v-x-\dots-y-w$, we have $x \in N(v) = CN(Q_i)$ and $y \in N(w) = CN(Q_j)$ since Q_i and Q_j are co-neighboring sets. Since v, w are non-adjacent, the length of this path is at least two. But $\gamma(vx) = \gamma(wy)$, so the path is not rainbow. \square

2.3. Miscellaneous Bounds

Now we prove some additional bounds that will be useful in our discussion in Section 3. We call G an s -strong graph if G is connected and every rainbow s -coloring on G is strong rainbow. For example, any connected graph is 1-strong, and any tree is s -strong for every $s \in \mathbb{N}$.

Theorem 2.5. *Let G be an s -strong graph. Then*

$$rc(G) \geq \min\{s + 1, src(G)\} \tag{2.6}$$

with equality if and only if $rc(G) \leq s + 1$.

Proof. Suppose $rc(G) \leq k$, where $k = \min\{s, src(G) - 1\}$. Then there is a rainbow k -coloring γ on G . Since $k \leq s$, γ is a strong rainbow coloring. This contradicts $k < src(G)$.

If equality occurs, then $rc(G) = \min\{s + 1, src(G)\} \leq s + 1$. Conversely, if $rc(G) \leq s + 1$, since $rc(G) \leq src(G)$ then we have $rc(G) \leq \min\{s + 1, src(G)\}$, so equality occurs. \square

Later we need 2-strong and 3-strong graphs.

Theorem 2.6. *Any connected graph is 2-strong. Therefore,*

$$rc(G) \geq \min\{3, src(G)\} \tag{2.7}$$

for any connected graph G , with equality if and only if $rc(G) \leq 3$.

Proof. Any path of length two between non-adjacent vertices must be a geodesic. So, any rainbow 2-coloring is strong rainbow. \square

Theorem 2.7. *Any connected (C_3, C_5) -free graph is 3-strong. Therefore, if G is connected and (C_3, C_5) -free (for example when G is bipartite) then*

$$rc(G) \geq \min\{4, src(G)\} \tag{2.8}$$

with equality if and only if $rc(G) \leq 4$.

Proof. Suppose there is a rainbow 3-coloring on G that is not strong rainbow. Let $v, w \in V(G)$ be non-adjacent vertices without any rainbow geodesics. Let L be a rainbow $v-w$ path. If the length of L is two or $d_G(v, w) = 3$, then L will be a geodesic. So the length of L is three and $d_G(v, w) = 2$. Suppose $L : v-x_1-x_2-w$, and let $v-x_3-w$ be a geodesic. If $x_3 \in \{x_1, x_2\}$, then G contains a C_3 . If $x_3 \notin \{x_1, x_2\}$, then G contains a C_5 . \square

3. Tight Examples

3.1. Amalgamation of Complete Graphs

Our first example is one in which the β_0 lower bound in Theorem 2.1 is stronger than the ω lower bound. The amalgamation of (disjoint) complete graphs K_{m_1}, \dots, K_{m_t} , denoted

$$Amal(K_{m_1}, \dots, K_{m_t}) \tag{3.1}$$

is a new graph obtained by choosing one vertex from each K_{m_i} and identifying those vertices as a single vertex (called the *central vertex*). The rainbow connection number of $Amal(K_{m_1}, \dots, K_{m_t})$ when $m_1 = \dots = m_t \geq 3$ was studied by Fitriani and Salman [2]. Now we settle the general case.

Theorem 3.1. *If $m_1, \dots, m_t, t \geq 2$ and u is the number of $i \in \{1, \dots, t\}$ with $m_i = 2$, then*

- (1) $src(Amal(K_{m_1}, \dots, K_{m_t})) = t.$
- (2) $rc(Amal(K_{m_1}, \dots, K_{m_t})) = \begin{cases} 2, & \text{if } t = 2, \\ \max\{3, u\} & \text{otherwise.} \end{cases}$

Proof. Let $G = Amal(K_{m_1}, \dots, K_{m_t})$. Note that

$$G = \left(\bigcup_{i=1}^t K_{m_i-1} \right) + K_1. \tag{3.2}$$

Let $A = \bigcup_{i=1}^t K_{m_i-1}$ and $Q = V(A)$. Then $\beta_0(Q^*) = t$ and $\omega(Q^*) = \max\{m_1, \dots, m_t\}$, so by Theorem 2.1 we have $src(G) \geq t$. A strong rainbow t -coloring is easily obtained by giving $\gamma(e) = i$ if $e \in K_{m_i}$.

It remains to compute the rc. Since G is not complete, $rc(G) \geq 2$. If $t = 2$, then $rc(G) \leq src(G) = t = 2$. Now let $t \geq 3$. If $u = t$, then G is a tree and $rc(G) = |E(G)| = u = \max\{3, u\}$.

Now let $t \geq 3$ and $u < t$. By Theorem 2.6, $rc(G) \geq \min\{3, t\} = 3$. By Schiermeyer’s lower bound, $rc(G) \geq n_1(G) = u$. So $rc(G) \geq \max\{3, u\}$. A rainbow $\max\{3, u\}$ -coloring on G can be produced as follows. First, give all u vertices of degree 1 in G different colors. Put the color 3 on all edges in K_{m_i-1} with $m_i \geq 3$. For each i with $m_i \geq 3$, assign color 1 to half the edges from K_{m_i-1} to K_1 , and assign color 2 on the remaining edges from K_{m_i-1} to K_1 . This way, any two vertices in A can be connected by a 1-2 path or a 1-3-2 path. □

3.2. Generalized Wheel Graphs

This is an example in which the ω lower bound in Theorem 2.1 is sharper than β_0 . The join of a cycle with any graph, i.e. $C_n + H$, is called the generalized wheel graphs. This class of graph has been studied under various labelling schemes [3]. Now we consider the rc and src.

Theorem 3.2. *Let $n \geq 3$ and H be any graph. Then*

- (1) $rc(C_n + H) = \min\{3, src(C_n + H)\}.$
- (2) *If $|V(H)| \leq \lceil \frac{n}{3} \rceil$, then $src(C_n + H) = \lceil (\frac{n}{3})^{\frac{1}{|V(H)|}} \rceil$*

Proof. (1) First, note that a rainbow 3-coloring on $G = C_n + H$ can be produced as follows. Put the color 3 on all edges in C_n . Let the cycle be $v_1-v_2-\dots-v_n-v_1$ be in this order. If i is odd, assign color 1 to all v_i-H edges. If i is even, assign color 2 to all v_i-H edges. In this way, any two vertices in H can be connected by a 1-3-2 path, and any two non-adjacent vertices in C_n can be connected by a 1-2 path or 1-3-2 path. Hence $rc(G) \leq 3$. So by Theorem 2.6 we have (1).

(2) Let $Q = V(C_n)$, $b = |V(H)|$, and $k = \lceil \frac{n}{3} \rceil$. Then $Q^* = C_n^2$. If $n = 3$ then $|V(H)| = 1$ and $G = K_4$. Now let $n \geq 4$, so G is not complete and $src(G) \geq 2$. The following claim simplifies our computation.

Claim: $\lceil \sqrt[b]{\frac{n}{3}} \rceil = \lceil \sqrt[b]{k} \rceil.$

Since $\frac{n}{3} \leq k$, we have $\lceil \sqrt[b]{\frac{n}{3}} \rceil \leq \lceil \sqrt[b]{k} \rceil$. On the other hand, from $\lceil \sqrt[b]{\frac{n}{3}} \rceil \geq \sqrt[b]{\frac{n}{3}}$ we have $\lceil \sqrt[b]{\frac{n}{3}} \rceil^b \geq \frac{n}{3}$ and so $\lceil \sqrt[b]{\frac{n}{3}} \rceil^b \geq k$. Thus $\lceil \sqrt[b]{\frac{n}{3}} \rceil \geq \sqrt[b]{k}$, hence $\lceil \sqrt[b]{\frac{n}{3}} \rceil \geq \lceil \sqrt[b]{k} \rceil$. The Claim is proved.

If $4 \leq n \leq 6$, then $k = 2$ and $|V(H)| \in \{1, 2\}$, so $\lceil \sqrt[b]{k} \rceil = 2$ and in this case $src(G) = 2$. Now let $n \geq 7$. It is not hard to see that $\beta_0(C_n^2) = \lfloor \frac{n}{3} \rfloor$ and $\omega(C_n^2) = 3$. So by Theorem 2.1 we have $src(G) \geq \lceil \sqrt[b]{\frac{n}{3}} \rceil = \lceil \sqrt[b]{k} \rceil$. For the upper bound, we quote Theorem 2.3 in [8] stating that

$$src(A + B) \leq \max \left\{ \Delta(A), \left\lceil i(A)^{\frac{1}{|V(B)|}} \right\rceil, \left\lceil |V(B)|^{\frac{1}{i(A)}} \right\rceil \right\} \tag{3.3}$$

where $i(A)$ is the *independent domination number* of A , which is the smallest cardinality of a set of independent (pairwise non-adjacent) vertices that are also dominating (i.e. any other vertex is adjacent with at least one of them). We apply this with $A = C_n$ and $B = H$. The following figure shows that $i(C_n) \leq k$. □

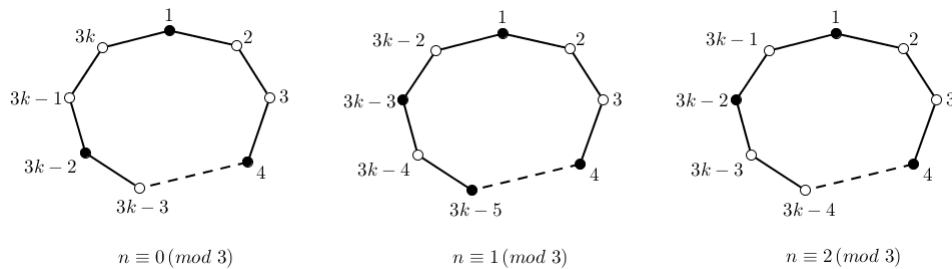


Figure 2. The marked vertices form an independent dominating set of cardinality k .

Remark 3.1. Regardless of the structure of H , we have $rc(C_n + H) = 3$ when n is sufficiently large, specifically when $\frac{n}{3} > 2^{|V(H)|}$.

3.3. Sequential Join

This example shows the tightness of Theorem 2.2, and some further use of color codes. The *sequential join* of disjoint graphs G_1, \dots, G_t denoted $G_1 + G_2 + \dots + G_t$ is defined as the union $(G_1 + G_2) \cup \dots \cup (G_{t-1} + G_t)$ of graph joins (see e.g. [3]). We focus on a sequential join of the form $mK_1 + bK_1 + bK_1 + mK_1$. When $b = 1$ the graph is a tree. So we assume $b \geq 2$.

Theorem 3.3. Let $G_{m,b} = mK_1 + bK_1 + bK_1 + mK_1$, where $m \geq 1, b \geq 2$. Let $n = \lfloor \sqrt[b]{m} \rfloor$. Then

- (1) $rc(G_{m,b}) = \min\{4, src(G_{m,b})\}$.
- (2) $n + 1 \leq src(G_{m,b}) \leq n + 3$.

At least two of the values, namely $n + 1$ and $n + 2$, can be attained by the src . In fact,

- (i) If $m \leq n^b - n + \lfloor \frac{n}{2} \rfloor (2^b - 1)$, then $src(G_{m,b}) = n + 1$.
- (ii) If $m \geq \min \{ (b - 1)^b, (n + 1)^b - (n + 1) \}$, then $src(G_{m,b}) \leq n + 2$.
- (iii) If $m \geq (n + 1)^b - \frac{n}{2}$, then $src(G_{m,b}) = n + 2$.

Proof. Note that $n^b \leq m < (n + 1)^b$. Let $Q_1 = \{v_1, \dots, v_m\}$ and $Q_2 = \{w_1, \dots, w_m\}$ be the vertex set of the left and right mK_1 , with $CN(Q_1) = \{t_1, \dots, t_b\}$ and $CN(Q_2) = \{u_1, \dots, u_b\}$.

(1) Since $G_{m,b}$ is bipartite, by Theorem 2.7 it is enough to show $rc(G_{m,b}) \leq 4$. We construct a rainbow 4-coloring γ on $G_{m,b}$ as follows. Define γ in such a way so that $code(v_i) = (1, 2, 2, \dots, 2)$ with respect to $\{t_1, \dots, t_b\}$, and $code(w_i) = (1, 4, 4, \dots, 4)$ with respect to $\{u_1, \dots, u_b\}$, for all $i \in \{1, \dots, m\}$. The middle part of $G_{m,b}$ i.e. the subgraph induced by $CN(Q_1) \cup CN(Q_2)$, is a complete bipartite graph $bK_1 + bK_1 = K_{b,b}$ whose src is according to [1] equal to $\lceil \sqrt[b]{b} \rceil = 2$ (because $1 < b < 2^b$ for $b \geq 2$). Put a rainbow 2-coloring on the middle part by using the colors 1 and 3. We modify the coloring in the middle part such that $\gamma(t_1u_1) = 1$, $\gamma(t_2u_1) = 2$, $\gamma(t_2u_2) = 3$, and $\gamma(t_1u_2) = 4$, without destroying rainbow connectivity. Now we prove that γ is rainbow. Let $x, y \in V(G_{m,b})$ be non-adjacent.

Case 1: $x, y \in Q_1$ (or by symmetry $x, y \in Q_2$).

The path $x \overset{1}{-} t_1 \overset{4}{-} u_2 \overset{3}{-} t_2 \overset{2}{-} y$ is rainbow.

Case 2: $x \in Q_1$ and $y \in CN(Q_2)$ (or by symmetry $x \in CN(Q_1)$ and $y \in Q_2$).

The path $x \overset{2}{-} t_2 \overset{3}{-} u_2 \overset{4}{-} w_1 \overset{1}{-} u_1$ is rainbow, and so is $x \overset{2}{-} t_2 \overset{3}{-} u_i$ for $i \in \{2, \dots, b\}$.

Case 3: $x, y \in CN(Q_1)$ (or by symmetry $x, y \in CN(Q_2)$).

By construction, there is a rainbow path from x to y .

Case 4: $x \in Q_1$ and $y \in Q_2$.

The path $x \overset{2}{-} t_2 \overset{3}{-} u_2 \overset{4}{-} y$ is rainbow. This completes the proof of (1).

To prove (2) and the remaining statements, we need the following claim.

Claim: Let $c \in \mathbb{N}$ satisfy $m \leq c^b - c + \lfloor \frac{c}{2} \rfloor (2^b - 1)$. Then $src(G_{m,b}) \leq c + d$, where

$$d = \begin{cases} 1, & \text{if } m \geq c^b - c \text{ or } c \geq b, \\ 2, & \text{otherwise.} \end{cases} \tag{3.4}$$

We prove this by constructing a strong rainbow $(c + d)$ -coloring γ on $G_{m,b}$. Let $m' \geq m$ be such that $c^b - c + \lfloor \frac{c}{2} \rfloor \leq m' \leq c^b - c + \lfloor \frac{c}{2} \rfloor (2^b - 1)$. Construct $H = G_{m',b}$ from $G_{m,b}$ by adding new vertices, extending Q_i into Q'_i for all $i \in \{1, 2\}$. First, we define γ as a coloring on H . Later, we will erase the new vertices and restrict γ to $G_{m,b}$.

We begin by coloring the middle part, i.e. $bK_1 + bK_1$ whose src is 2. If $d = 2$, put a strong rainbow 2-coloring on this part with the colors $c + 1$ and $c + 2$. If $d = 1$, then we put $\gamma(t_iu_j) = c + 1$ instead for all $i, j \in \{1, 2\}$.

Now we color the left wing. Including v_1 , choose any $c^b - c$ vertices in Q'_1 to form a set Q_{11} . The edges adjacent to Q_{11} are colored in such a way so that, with respect to $\{t_1, \dots, t_b\}$, the set $code(Q_{11})$ consists of all non-diagonal b -tuples with entries from $\{1, \dots, c\}$. If $c \geq b$, we also put $code(v_1) = (1, 2, 3, \dots, b)$. Analogously, we form $Q_{21} \subseteq Q'_2$ and put the coloring in the same way.

Next, for each $i \in \{1, 2\}$, choose any $\lfloor \frac{c}{2} \rfloor$ vertices from $Q'_i \setminus Q_{i1}$ and let them form a set Q_{i2} . Put the coloring on edges adjacent to Q_{i2} so that $code(Q_{12})$ and $code(Q_{22})$ are disjoint and their union consists of all diagonal tuples with entries taken from $\{1, \dots, 2 \lfloor \frac{c}{2} \rfloor\} \subseteq \{1, \dots, c\}$.

Finally, for each $i \in \{1, 2\}$, let $Q_{i3} = Q_i \setminus (Q_{i1} \cup Q_{i2})$. If $Q_{i3} = \emptyset$ we are done. Otherwise, put the coloring on edges incident to Q_{i3} in a way so that $code(Q_{i3})$ consists of permutations of $(a, a, \dots, a, c+1, c+1, \dots, c+1)$, where $a \in \{1, \dots, c\}$ with $(a, a, \dots, a) \in code(Q_{i2})$ is repeated j times, for some $j \in \{1, \dots, b\}$. The number of such a tuple (a_1, a_2, \dots, a_b) is precisely

$$\left\lfloor \frac{c}{2} \right\rfloor \sum_{j=1}^{b-1} \binom{b}{j} = \left\lfloor \frac{c}{2} \right\rfloor (2^b - 2). \tag{3.5}$$

The condition $m' \leq c^b - c + \left\lfloor \frac{c}{2} \right\rfloor (2^b - 1)$ implies that

$$|Q_{i3}| = m' - (|Q_{i1}| + |Q_{i2}|) = m' - c^b + c - \left\lfloor \frac{c}{2} \right\rfloor \leq \left\lfloor \frac{c}{2} \right\rfloor (2^b - 2) \tag{3.6}$$

Therefore, all vertices of Q_{i3} can be allocated such tuples.

After erasing all the new vertices, we end the definition of γ . Now we prove that γ is strong rainbow. Let $x, y \in V(G_{m,b})$ be non-adjacent.

Case 1: $x, y \in Q_1$ or $x, y \in Q_2$

For each $i \in \{1, 2\}$, all vertices in Q_i have distinct codes. We are done by Lemma 1.1.

Case 2: $x \in Q_1$ and $y \in CN(Q_2)$ (or by symmetry $x \in CN(Q_1)$ and $y \in Q_2$).

There is $i \in \{1, \dots, b\}$ such that $\gamma(xt_i) \leq c$. Then $x-t_i-y$ is a rainbow geodesic.

Case 3: $x, y \in CN(Q_1)$ (or by symmetry $x, y \in CN(Q_2)$).

Say $x = t_i$ and $y = t_j$ with $1 \leq i < j \leq b$.

Subcase 3.1: $m \geq c^b - c$.

In this subcase the set $code(Q_{11})$ contains all off-diagonal tuples with entries from $\{1, \dots, c\}$, so there is $v \in Q_{11}$ such that the i 'th component of $code(v)$ is different than the j 'th component. Then $x-v-y$ is a rainbow geodesic.

Subcase 3.2: $c \geq b$.

In this subcase $code(v_1) = (1, 2, \dots, b)$, so $x^i-v_1^j-y$ is a rainbow geodesic.

Subcase 3.3: $d = 2$.

In this subcase there is a rainbow geodesic between x and y in the middle part $(bK_1 + bK_1)$.

In the remaining cases we consider $x \in Q_1$ and $y \in Q_2$.

Case 4: $x \in Q_{11}$ and $y \in Q_{21}$.

If $code(x)$ with respect to $\{t_1, \dots, t_b\}$ is equal to $code(y)$ with respect to $\{u_1, \dots, u_b\}$, choose $i, j \in \{1, \dots, b\}$ with $i \neq j$ and $\gamma(xt_i) \neq \gamma(xt_j) = \gamma(yu_j)$. Then the geodesic $x-t_i-u_j-y$ is rainbow. Now suppose that $code(x) \neq code(y)$, say they differ at the i 'th component. Then the geodesic $x-t_i-u_i-y$ is rainbow.

Case 5: $x \in Q_{11}$ and $y \in Q_{22} \cup Q_{23}$ (or by symmetry, $x \in Q_{12} \cup Q_{13}$ and $y \in Q_{21}$).

There is $j \in \{1, \dots, b\}$ with $\gamma(yu_j) \leq c$. Since $code(x)$ is non-diagonal, there is $i \in \{1, \dots, b\}$ with $\gamma(xt_i) \neq \gamma(yu_j)$. Then the geodesic $x-t_i-u_j-y$ is rainbow.

Case 6: $x \in Q_{12}$ and $y \in Q_{22}$.

Since $code(Q_{12}) \cap code(Q_{22}) = \emptyset$, $code(x)$ and $code(y)$ are distinct diagonal tuples with entries from $\{1, \dots, c\}$. So the geodesic $x-t_1-u_1-y$ is rainbow.

Case 7: $x \in Q_{12}$ and $y \in Q_{23}$ (or by symmetry, $x \in Q_{13}$ and $y \in Q_{22}$).

Let $code(x) = (a, a, \dots, a)$ and $code(y) = (w_1, \dots, w_b)$. Let $i \in \{1, \dots, b\}$ be such that $(w_i, w_i, \dots, w_i) \in code(Q_{22})$. Then $a \neq w_i$ since $code(Q_{12}) \cap code(Q_{22}) = \emptyset$, so the geodesic $x \overset{a}{-} t_1 \overset{w_i}{-} y$ is rainbow.

Case 8: $x \in Q_{13}$ and $y \in Q_{23}$.

Let $code(x) = (v_1, \dots, v_b)$ and $code(y) = (w_1, \dots, w_b)$. Let $i, j \in \{1, \dots, b\}$ be such that $(v_i, v_i, \dots, v_i) \in code(Q_{12})$ and $(w_j, w_j, \dots, w_j) \in code(Q_{22})$. Then $v_i \neq w_j$ since $code(Q_{12}) \cap code(Q_{22}) = \emptyset$, so the geodesic $x \overset{v_i}{-} t_i \overset{w_j}{-} y$ is rainbow. This completes the proof of the Claim.

(2) From Theorem 2.1 with $Q = Q_1 \cup \{u_1\}$, we have $src(G_{m,b}) \geq \sqrt[b]{m+1} > n$. So we get the lower bound $src(G_{m,b}) \geq n+1$. Let $c = n+1$. Note that $\lfloor \frac{n+1}{2} \rfloor (2^b - 1) \geq 3 \lfloor \frac{n+1}{2} \rfloor \geq n+1$. So $c^b - c + \lfloor \frac{c}{2} \rfloor (2^b - 1) \geq c^b = (n+1)^b > m$, and the Claim gives $src(G_{m,b}) \leq c+2 = n+3$.

(i) If $m \leq n^b - n + \lfloor \frac{n}{2} \rfloor (2^b - 1)$, use the Claim with $c = n$ and $d = 1$ to obtain $src(G_{m,b}) \leq n+1$. This and the lower bound $src(G_{m,b}) \geq n+1$ prove (i).

(ii) If $m \geq \min \{ (b-1)^b, (n+1)^b - (n+1) \}$, then the Claim with $c = n+1$ and $d = 1$ gives $src(G_{m,b}) \leq n+2$.

(iii) Now suppose $m \geq (n+1)^b - \frac{n}{2}$. Then $m \geq (n+1)^b - (n+1)$, so by (ii) we have $src(G_{m,b}) \leq n+2$. Next we use Theorem 2.2 with Q_1 and Q_2 with the initial estimate $src(G_{m,b}) \geq n+1$ to obtain $src(G_{m,b}) \geq 1 + \lfloor \sqrt[b]{m + \frac{n}{2}} \rfloor \geq 1 + \lfloor \sqrt[b]{(n+1)^b} \rfloor = n+2$. \square

Remark 3.2. As a result, we have $rc(G_{m,b}) = 4$ when m is sufficiently large compared to b , specifically when $m \geq 3^b$.

When $b = 2$, we have a complete solution for the rc.

Theorem 3.4. $rc(G_{m,2}) = \begin{cases} 3, & \text{if } 1 \leq m \leq 5 \\ 4, & \text{if } m \geq 6. \end{cases}$

Proof. We continue to use the same notation as in the proof of previous theorem. If $1 \leq m \leq 3$, then by Theorem 3.3(2) we have $rc(G_{m,2}) \leq src(G_{m,2}) \leq \lfloor \sqrt{m} \rfloor + 2 = 3$. If $4 \leq m \leq 5$, then Theorem 3.3(1) gives $rc(G_{m,2}) \leq src(G_{m,2}) = \lfloor \sqrt{m} \rfloor + 1 = 3$. Now let $m \geq 6$ and suppose $rc(G_{m,2}) \leq 3$. Then there is a rainbow 3-coloring γ on $G_{m,2}$.

Claim 1: For any $i \in \{1, 2\}$, all vertices in $code(Q_1) \cup \{u_i\}$ have different codes with respect to $\{t_1, t_2\}$. Also, all vertices in $code(Q_2) \cup \{t_i\}$ have different codes with respect to $\{s_1, s_2\}$.

A path between vertices in $Q_1 \cup \{u_i\}$ not passing through t_1 or t_2 has length at least 4. So, any rainbow path between vertices in $Q_1 \cup \{u_i\}$ must be of the form $x - t_j - y$. This proves Claim 1.

Claim 2: There is at least one diagonal tuple in $code(Q_1)$, and at least one in $code(Q_2)$.

Assume otherwise. Suppose $code(Q_1)$ has no diagonal tuple. Since there are only six non-diagonal tuples, we have $m = 6$ and $code(Q_1) = \{(1, 2), (2, 1), (1, 3), (3, 1), (2, 3), (3, 2)\}$. By Claim 1, the codes of u_1 and u_2 with respect to $\{t_1, t_2\}$ are both diagonal. If $code(u_1) \neq code(u_2)$, say $code(u_1) = (1, 1)$ and $code(u_2) = (2, 2)$, then there are no rainbow path from the vertex in Q_1 with code $(1, 2)$ to any vertex in Q_2 . Now suppose $code(u_1) = code(u_2)$, say $(1, 1)$. There

is some $x \in Q_2$ with $code(x) \in \{(1, 2), (1, 3), (2, 1), (3, 1)\}$, because otherwise $code(Q_2) \subseteq \{(1, 1), (2, 2), (3, 3), (2, 3), (3, 2)\}$. Let $y \in Q_2$ with $code(y) = code(x)$. Then there are no rainbow paths between x and y . The proof of Claim 2 is complete.

Claim 3: There is at most one diagonal tuple in $code(Q_1)$, and at most one in $code(Q_2)$.

Assume otherwise. WLOG, let $a, b \in Q_1$ with $code(a) = (1, 1)$ and $code(b) = (2, 2)$. If there is some $c \in Q_2$ with $code(c) \in \{(1, 1), (2, 2)\}$, then there are no rainbow paths between c and a , or between c and b . So $code(Q_2) \subseteq \{(3, 3), (1, 2), (2, 1), (1, 3), (3, 1), (2, 3), (3, 2)\}$.

Case 1: $(3, 3) \in code(Q_2)$.

Suppose $c \in Q_2$ with $code(c) = (3, 3)$. There is a rainbow path from a to c , so $\gamma(t_i u_j) = 2$ for some $i, j \in \{1, 2\}$. By symmetry, we may assume $\gamma(t_1 u_1) = 2$. Consider $code(u_1) = (2, \gamma(u_1 t_2))$ with respect to $\{t_1, t_2\}$. By Claim 1, $code(u_1) \notin code(Q_1)$. So $code(u_1) \neq (2, 2)$.

Subcase 1.1: $code(u_1) = (2, 1)$.

Since $|code(Q_2) \setminus \{(3, 3)\}| \geq 5$, at least one of $(1, 2)$ or $(2, 1)$ is in $code(Q_2)$. If $x \in Q_2$ with $code(x) = (1, 2)$, then there are no rainbow path from x to b . If $x \in Q_2$ with $code(x) = (2, 1)$, then there are no rainbow path from x to a .

Subcase 1.2: $code(u_1) = (2, 3)$.

There is a rainbow path from c to b , so either $\gamma(u_2 t_1) = 1$ or $\gamma(u_2 t_2) = 1$.

Subsubcase 1.2.1: $\gamma(u_2 t_1) = 1$.

Since $|code(Q_2) \setminus \{(3, 3)\}| \geq 5$, at least one of $(1, 2)$ or $(2, 1)$ is in $code(Q_2)$. If $x \in Q_2$ with $code(x) = (1, 2)$, then because there is a rainbow path from x to a , we must have $\gamma(t_2 u_2) = 3$. If $x \in Q_2$ with $code(x) = (2, 1)$, then because there is a rainbow path from x to b , we must have $\gamma(t_2 u_2) = 3$. In either case, $code(t_2) = (3, 3)$ with respect to $\{u_1, u_2\}$, contradicting Claim 1.

Subsubcase 1.2.2: $\gamma(u_2 t_2) = 1$.

Now $code(t_2) = (3, 1)$ with respect to $\{u_1, u_2\}$, so by Claim 1 and $|code(Q_2) \setminus \{(3, 3)\}| \geq 5$ we must have $code(Q_2) = \{(3, 3), (1, 2), (2, 1), (1, 3), (2, 3), (3, 2)\}$. Let $x \in Q_2$ with $code(x) = (1, 3)$. There must be a rainbow path from x to a , so $\gamma(u_2 t_1) = 2$. Then there are no rainbow paths from a to the vertex in Q_2 whose code is $(1, 2)$.

Case 2: $(3, 3) \notin code(Q_2)$.

Since $m \geq 6$, in this case $code(Q_2) = \{(1, 2), (2, 1), (1, 3), (3, 1), (2, 3), (3, 2)\}$. Let $x \in Q_2$ with $code(x) = (1, 3)$. There must be a rainbow path from x to a , so either $\gamma(u_2 t_1) = 2$ or $\gamma(u_2 t_2) = 2$. By symmetry, we may assume $\gamma(u_2 t_2) = 2$. By Claim 1, $code(t_2)$ with respect to $\{u_1, u_2\}$ cannot be an non-diagonal tuple, so $code(t_2) = (2, 2)$.

Now let $y \in Q_2$ with $code(y) = (2, 1)$. There must be a rainbow path from y to b , so $\gamma(u_2 t_1) = 3$. Because $code(t_1)$ with respect to $\{u_1, u_2\}$ cannot be an non-diagonal tuple, we must have $code(t_1) = (3, 3)$. Then there are no rainbow paths from b to the vertex in Q_2 whose code is $(3, 2)$. This completes the proof of Claim 3.

Now, by Claim 2 and Claim 3, there is exactly one diagonal tuple in $code(Q_1)$, and similarly in $code(Q_2)$. By Claim 1, this forces $m \leq 7$, each of $code(Q_1)$ and $code(Q_2)$ can only miss at most one non-diagonal tuple, and at most one non-diagonal tuple can occur as $code(u_1)$ or $code(u_2)$.

WLOG, let us assume $(1, 1) \in code(Q_1)$, say $x \in Q_1$ with $code(x) = (1, 1)$. If none of $code(u_1), code(u_2)$ is equal to $(2, 2)$ or $(3, 3)$, then $code(u_1) = code(u_2) = (a, b)$ with $a \neq b$.

But then $code(t_1) = (a, a)$ and $code(t_2) = (b, b)$. Therefore, exchanging the role of Q_1 and Q_2 if necessary, we may assume without loss of generality that $(1, 1) \in code(Q_1)$ and $code(u_1) = (2, 2)$.

If $(2, 1) \in code(Q_2)$, then there are no rainbow paths from x to the vertex in Q_2 whose code is $(2, 1)$. So $(2, 1) \notin code(Q_2)$. Hence, all non-diagonal tuples except $(2, 1)$ are in $code(Q_2)$. In particular, there is some $y \in Q_2$ with $code(y) = (1, 2)$.

Because there is a rainbow path from x to y , we must have $\gamma(t_1u_2) = 3$ or $\gamma(t_2u_2) = 3$. So either $code(t_1) = (2, 3)$ or $code(t_2) = (2, 3)$, contradicting Claim 1 since $(2, 3) \in code(Q_2)$. \square

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